



JCO9 Rec'd PCT/PTO

19 MAR 2001

PCT  
#3  
\$

PATENT

ATTORNEY DOCKET NO.: 047763-5017

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|   |   |                      |
|---|---|----------------------|
| In re Application of: Roger READ <i>et al.</i>  | ) |                      |
|   | ) |                      |
| U.S. Application No.: 09/673,305                | ) | Group: Unassigned    |
|   | ) |                      |
| International Appl. Filing Date: April 16, 1999 | ) |                      |
|   | ) |                      |
| Date of National Stage Entry: October 16, 2000  | ) | Examiner: Unassigned |
|   | ) |                      |
| For: PRODUCTION OF FURANONES                    | ) |                      |

Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS  
UNDER 35 USC 371 IN THE U.S. DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. This replies to the Notification of Missing Requirements (Form PCT/DO/EO/905) mailed November 3, 2000.

A copy of the Notification of Missing Requirements (Form PTC/DO/EO/905) is enclosed.

2. Declaration Or Oath

☒ [X] No declaration or oath was filed. Enclosed is the original Combined Declaration and Power of Attorney.

☐ [ ] The specification attached to the declaration is a copy of the specification and any amendments thereto which were filed in the PTO to obtain the filing date.

☐ [ ] The declaration or oath which was filed was determined to be defective. A new original Combined Declaration and Power of Attorney is attached.

## 3. English Translation of Non-English Language Papers

☐ Submitted herewith is a verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the PTO.

☐ Also enclosed is the Verification of Translation Document

## 4. Fee Calculation

|   |              |              |               |          |
|---|--------------|--------------|---------------|----------|
| Basic Fee:<br>PCT Application-\$840.00  |              |              |               | \$840.00 |
|   | Number Filed | Number Extra | at a Rate of  |          |
| Total Claims  | -20 =        | 0            | \$18.00 each= | +\$0.00  |
| Independent Claims  | - 3 =        | 0            | \$78.00 each= | +\$0.00  |
| Multiple dependent claim(s), if any   |              |              | \$260.00      | +\$0.00  |
| Missing Requirements Surcharge Fee  |              |              | \$130.00      | \$130.00 |
| SUB-TOTAL =   |              |              |               | \$130.00 |
| Reduction by ½ for filing by small entity. All entities associated with this application presently fully meet the requirements of 36 CFR §1.9(d). |              |              |               | \$ 65.00 |
| Fee For Application Filed With A Non-English Specification<br>(37 CFR 1.17(k) and 1.52(d))  |              |              | \$130.00      | +\$      |
| Fee For Processing and retention of application (37 CFR 1.21(l) and 1.53(d))  |              |              | \$130.00      | +        |
| TOTAL FILING FEE =  |              |              |               | \$65.00  |

## 5. Extension of Time

The proceedings herein are for a patent application and the provisions of 37 CFR 1.136(a) apply.

☒ Applicants petition for an extension of time, the fees for which are set out in 37 CFR 1.17(a)-(d), for the total number of months checked below:

| <u>Total months requested</u>                          | <u>Fee for extension</u> | <u>[fee for Small Entity]</u> |
|--|--------------------------|-------------------------------|
| <input type="checkbox"/> one month                     | \$ 110.00                | \$ 55.00                      |
| <input type="checkbox"/> two months                    | \$ 390.00                | \$195.00                      |
| <input type="checkbox"/> three months                  | \$ 890.00                | \$445.00                      |
| <input checked="" type="checkbox"/> <b>four months</b> | \$1,390.00               | <b>\$695.00</b>               |
| <input type="checkbox"/> five months                   | \$1,890.00               | \$945.00                      |

Extension of time fee due with this request: **\$695.00**

If an additional extension of time is required, please consider this a Petition therefor.

☐ Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

## 6. Fee Payment

|                       |                 |                         |
|-----------------------|-----------------|-------------------------|
| The total fee due is: | Completion Fees | <u><b>\$ 65.00</b></u>  |
|                       | Extension Fees  | <u><b>\$ 695.00</b></u> |
|                       | Total Fee Due   | <u><b>\$ 760.00</b></u> |

☒ Enclosed are two checks in the amounts of \$760.00 and \$40.00 representing the Missing Requirements Surcharge, 4 months extension of time fee and an assignment recordation fee, respectively.

03/21/2001 UEDUVIJE 00000086 09673365

|           |           |
|-----------|-----------|
| 01 FC:254 | 65.00 OP  |
| 02 FC:218 | 695.00 OP |

[X] **Except** for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R §1.16 and §1.17 which may be required, or credit any overpayment to Deposit Account No. 50-0310.

7. Additional papers enclosed.

- [ ] Preliminary Amendment
- [ ] PCT/IB/338 English translation of the International preliminary Examination Report
- [ ] Information Disclosure Statement
- [ ] Form PTO-1449, \_\_\_ documents as listed
- [ ] Declaration of Biological Deposit
- [ ] Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.

Respectfully submitted,

**MORGAN, LEWIS & BOCKIUS LLP**



Elizabeth C. Weimar

Reg. No. 44,478

Date: March 19, 2001

**Customer No. 009629**  
**MORGAN, LEWIS & BOCKIUS LLP**  
1800 M Street, N.W.  
Washington, D.C. 20036  
(202) 467-7000